

PTO/SB/21 (09-04)

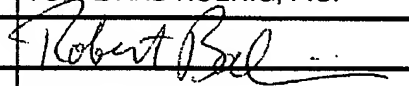
Approved for use through 07/31/2008.

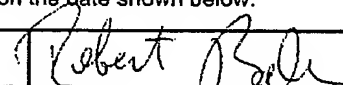
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/829,611	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b>
	Filing Date	April 22, 2004	
	First Named Inventor	Tsao et al.	<b>NOV 21 2005</b>
	Art Unit	2633	
	Examiner Name	Jason Chan	
Total Number of Pages in This Submission	Attorney Docket Number	DEE-PT168	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<div style="display: flex; justify-content: space-between;"> <div> <input type="text"/> Remarks         </div> <div style="text-align: center;"> <h2 style="margin: 0;">OFFICIAL FACSIMILE</h2> <p style="margin: 0;">2 PAGES SENT VIA FACSIMILE TO 571-273-8300.            PLEASE IMMEDIATELY DELIVER TO EXAMINER JASON CHAN, GROUP            ART UNIT 2633.</p> </div> </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	VOLPE AND KOENIG, P.C.		
Signature			
Printed name	Robert J. Ballarini		
Date	November 21, 2005	Reg. No.	48,684

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being sent Via Facsimile (571-273-8300) addressed to: Examiner Jason Chan, Group Art Unit 2821, on the date shown below:	
Signature	
Typed or printed name	Robert J. Ballarini
Date	November 21, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the **PATENT APPLICATION** of:

Tsao et al.

**Application No.:** 10/829,611

**Confirmation No.:** 6851

**Filed:** April 22, 2004

**For:** TUNABLE OPTICAL ADD-DROP  
MULTIPLEXER BASED ON SOI WAFER  
AND MANUFACTURING METHOD  
THEREOF

**Group:** 2633

**Examiner:** JASON CHAN

**RECEIVED**  
**CENTRAL FAX CENTER**

**NOV 21 2005**

**Our File:** DEE-PT168

**Date:** November 21, 2005

**COMMUNICATION RE STATUS**


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request notification of the status of the above-identified application. Nearly 19 months have passed since the application was filed and applicants have not received any substantive action.

Respectfully submitted,

Tsao et al.

By   
Robert J. Ballarini  
Registration No. 48,684  
(215) 568-6400

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

RJB/djw